

## INTERNAL AUDIT PLAN 2015-16

Area /Assignment	Service	Est. Days	Sub Totals
<b><u>Corporate/ Strategic</u></b>			
Commissioning Reviews	Corporate	40	
Business Continuity	Resources	10	
Section 33 Agreements*	Adults	15	
Follow- up Reviews	Corporate	10	
Fraud Risk Assessment	Corporate	3	
Equalities Act	Corporate	15	
Data Protection/ Info Sec	Corporate	10	
			103

\*Note: joint review with NHS Shared Services Wales

**Core/ Fundamental Systems**

Main Accounting System	Professional	10	
Capital	Professional	5	
Accounts Payable	Professional	5	
Accounts Receivable	Professional	15	
Collaborative Planning	Professional	10	
E Procurement	Professional	15	
Purchase Cards	Professional	7	
Pension Fund Investments	Professional	15	
Financial Closedown Review	Professional	5	
Treasury Management	Professional	15	
Payroll/Trent	Business	25	
Council Tax	Business	15	
NNDR	Business	12	
Follow-up reviews	Multiple	10	
Misc Work (brought forward)	Multiple	10	
			174

**Operational Reviews (Support Services)**

Fleet System Reviews	High, Trans & Rec	30	
Job Evaluation	Business	12	
Property & Estates- Assets	Prop, Regen & Com	20	
ICT Systems	ICT & Customer	60	
Contract Management	Prop, Regen & Com	20	
Human Resources	Professional	20	
Statutory Testing	Prop, Reg & Com	15	
Misc Work (brought forward)	Multiple	10	

Follow-up Reviews		10	<hr/>	197
<b><u>Operational Establishments (Cyclical)</u></b>				
Income Collection Points	Librarys	20		
Catering	Leisure & Rec	30		
Schools- Primary/ Secondary/ ALN	Schools	100		
Environmental Audit (Green Dragon)	High, Trans & Rec	12	<hr/>	162
<b><u>Operational (Customer Facing)</u></b>				
Housing Systems	Housing	60		
Catering and Cleaning	Leisure & Rec	20		
Family Placements	Children	15		
Regeneration	Prop, Regen & Com	20		
School Support Systems	Schools	40		
Adults Support Systems	Adults	15		
Operational Review	High, Trans & Rec	12	<hr/>	182
<b><u>Fraud &amp; Corruption</u></b>				
Public Whistleblowing Investigations	All	90		
Responsive Internal Investigations	All	90		
National Fraud Initiative	All	20		
			<hr/>	200
<b><u>Regulatory</u></b>				
Government Grants	Multiple	20		
European Grants (External)	BBNPA	12	<hr/>	32
<b><u>Advice and Support</u></b>				
Systems Development	Multiple	20		
Control Advise / Opinions	Multiple	20	<hr/>	40
<b>Total Planned days</b>				<hr/> <hr/>
				<b>1090</b>

Note plan over allocated by 72 days